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Application Number	10/621,927		
Filing Date	07/17/2003		
First Named Inventor	Paul Anthony Ashley		
Art Unit	2136		
Examiner Name	Hoffman, Brandon S.		
Attorney Docket Number	AUS920030169US1		

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Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)				
SIGNATURE of Applicant or Assignee of Record				
Signature Linge Andlesca)				
Name Lynne D. Anderson				
Date 1- 22-0)7	L	3) 29 9- 1455	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.				
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PTO/SB/96 (09-04)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Paul Anthony Ashley Application No./Patent No.: 10/621,927 Filed/Issue Date: 07/17/2003 Entitled: METHOD AND SYSTEM FOR STEPPING UP TO CERTIFICATE-BASED AUTHENTICATION WITHOUT BREAKING AN EXISTING SSL SESSION International Business Machines Corporation Corporation, Armonk New York (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignee) states that it is: 1. 😝 the assignee of the entire right, title, and interest; or an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is_ in the patent application/patent identified above by virtue of either: A An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel <u>014383</u>, Frame <u>0049</u>, or for which a copy thereof is attached. B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: The document was recorded in the United States Patent and Trademark Office at , or for which a copy thereof is attached. Reel ____, Frame _ 2. From: The document was recorded in the United States Patent and Trademark Office at _ or for which a copy thereof is attached. _, Frame _ Reel To: 3. From: The document was recorded in the United States Patent and Trademark Office at _, or for which a copy thereof is attached. _, Frame Reel Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.081 The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Signature Lynne D. Anderson, Reg. No. 462 (703) 299-1450 Printed or Typed Name Telephone Number

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Vice President, Intellectual Property & Standards International Business Machines Corporation